Procedures for SSP registration
-selected examples-

European Central Bank

September 2007
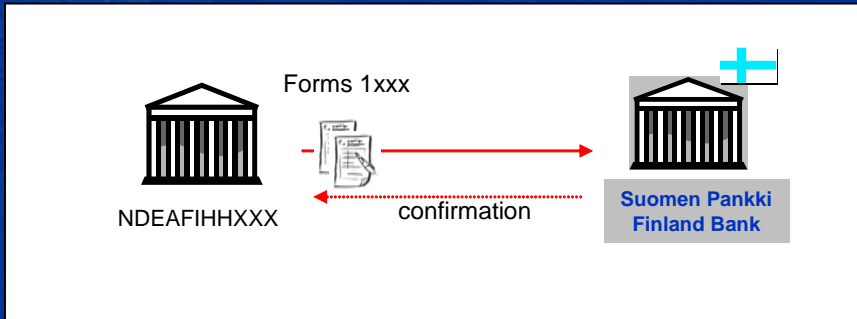
Procedures for SSP registration

-selected examples-

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Procedures for SSP registration

## 1. Registration of Direct Participants

- The participant fills in the form and remits it to the CB with which it will hold the PM account.

- The CB informs the direct participant when the registration is completed.
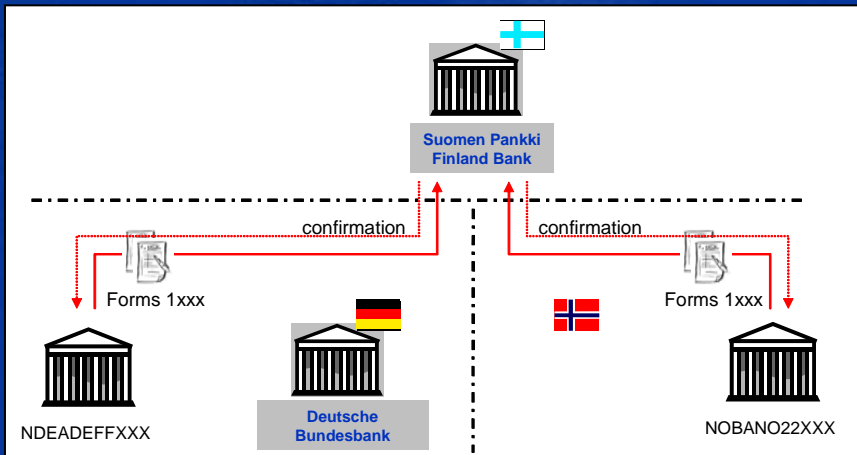
- The same procedure would apply when the direct participant is located in another TARGET2 country or in an EEA country not connected to TARGET2.

Procedures for SSP registration

2. Registration of Ancillary Systems
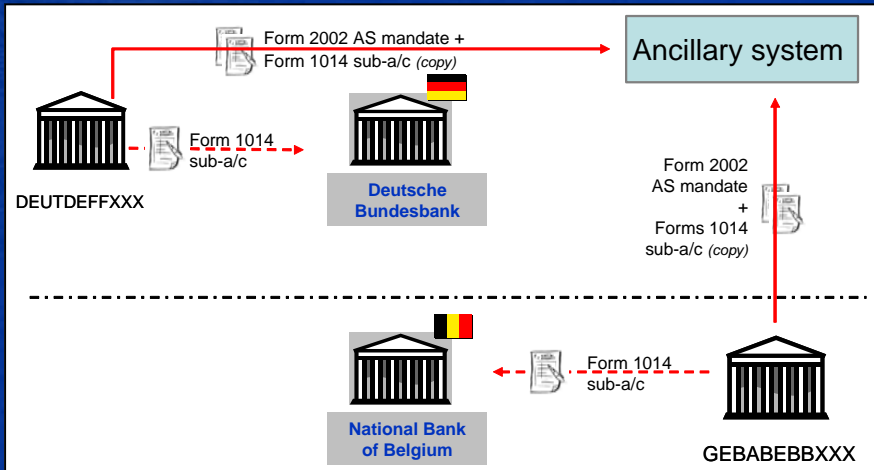
- All settlement banks fill in a form 2002 (AS mandate), which are sent to the AS.

- For model 6 (interfaced), settlement banks should also fill in a form 1014 (for sub-a/c). Forms 1014 are sent to the CB of the settlement bank + copy the AS.
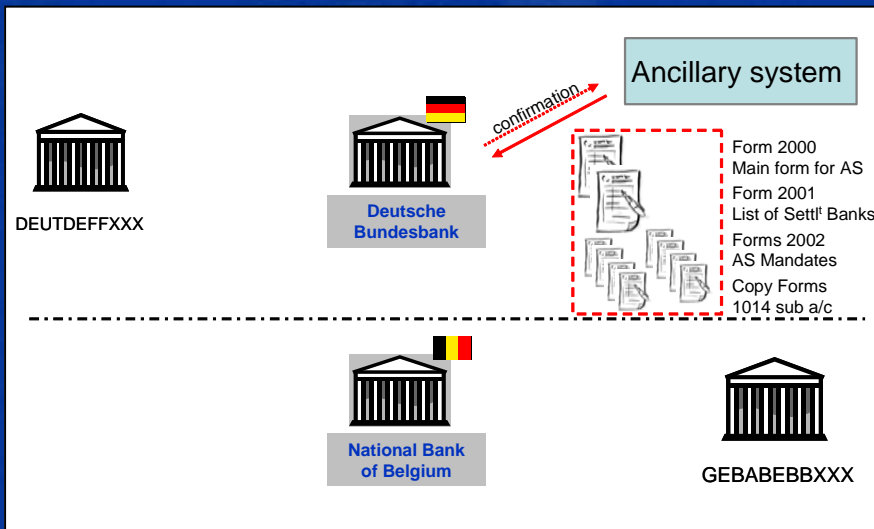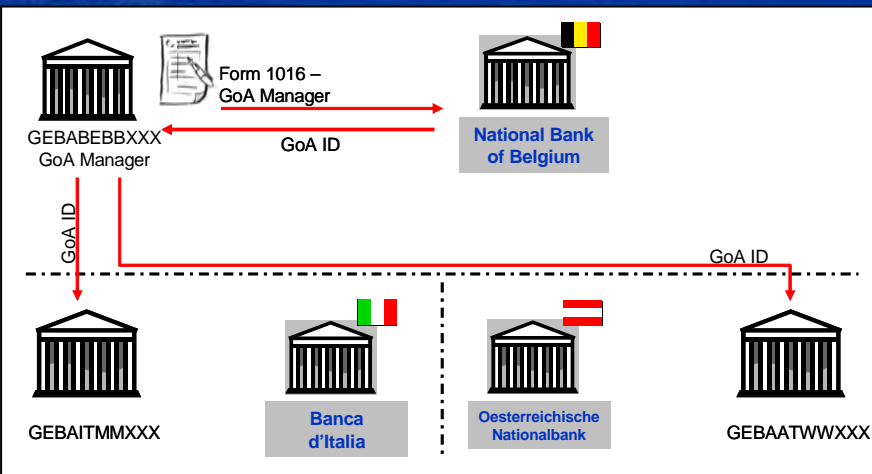

- The AS collects all the forms 2002 from its settlement banks and fills in its own forms (i.e. form 2000 and form 2001 listing all settlement banks).

- The AS will forward the whole package to its responsible CB.

- The responsible CB informs the AS when the registration is completed.

Procedures for SSP registration
3. Registration of Liquidity Pooling

- The GoA Manager of an approved group requests the creation of a GoA (form 1016). The CB assigns a GoA ID to the Group of Account and communicates it to the GoA Manager.

- This GoA ID is communicated by the GoA Manager to the GoA members.
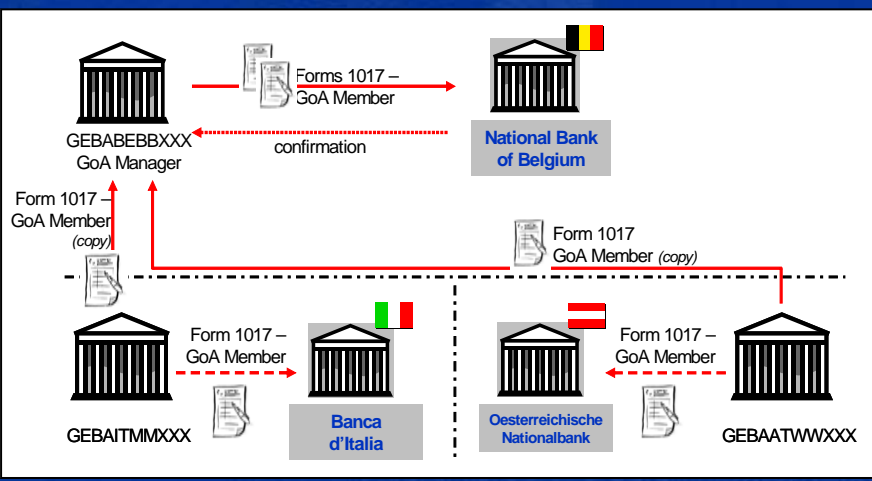
- Each GoA member fills a form 1017, remits to its responsible CB + copy to GoA Manager.

- The GoA Manager submits the whole package of forms 1017 to its CB.

- The CB informs the GoA manager when the registration is completed.

Procedures for SSP registration

4. Registration of Indirect Participants

- The direct participant fills in the form 4000 for indirect participation and submits it to its responsible CB.

- The CB informs the direct participant when the registration is completed.

Procedures for SSP registration

5. Registration of HAM Account Holders

- In case a participant needs to open a HAM account, it fills in the forms 5xxx and sends it directly to its responsible CB, regardless of its type of participation in PM (direct, indirect or none) .

- The CB informs the HAM account holder when the registration is completed.

Procedures for SSP registration
6. Registration of HAM Co-Management
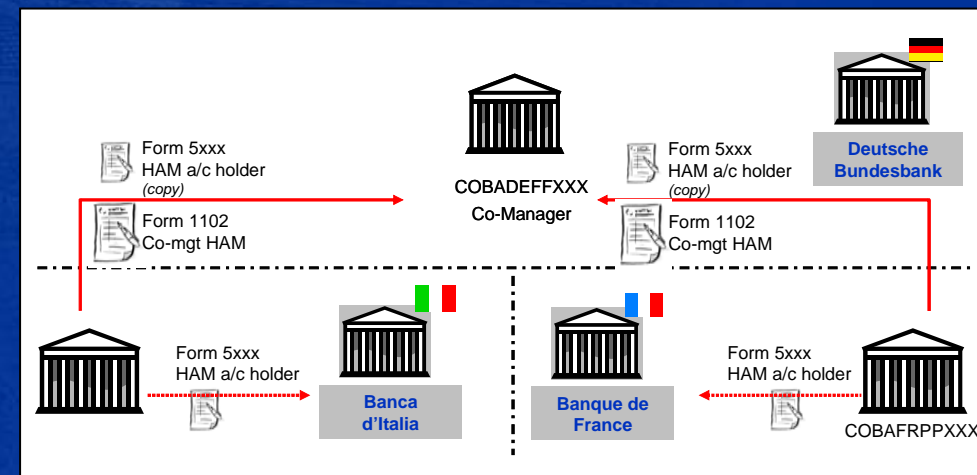
- Each co-managed a/c holder asks its responsible CB for opening a HAM a/c (form 5100). A copy of the form is sent to the co-manager.

- Additionally they have to sign specific form 1102, which are collected and signed as well by the co-manager.
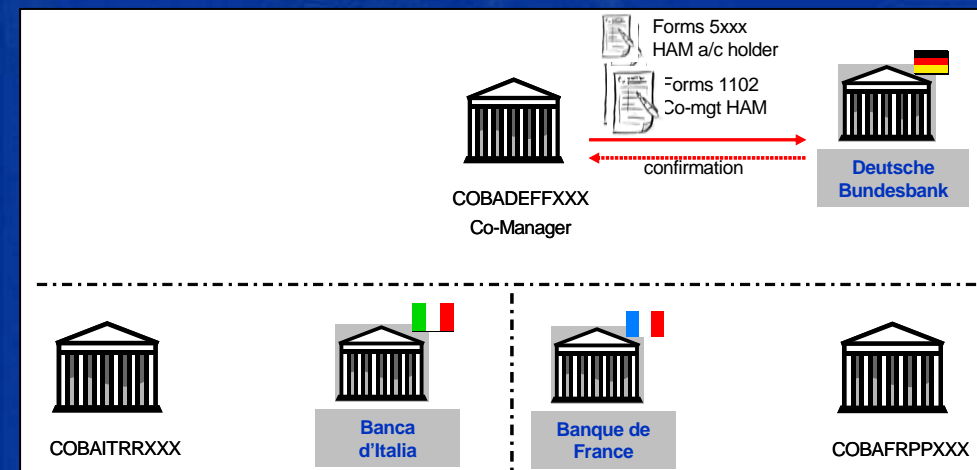
- The co-manager forwards the forms to its responsible CB (i.e. forms 5100 and 1102).

- The CB informs the co-manager when the registration is completed.

Procedures for SSP registration
7. Registration of Addressable BICs/ Multi-Addressee Access
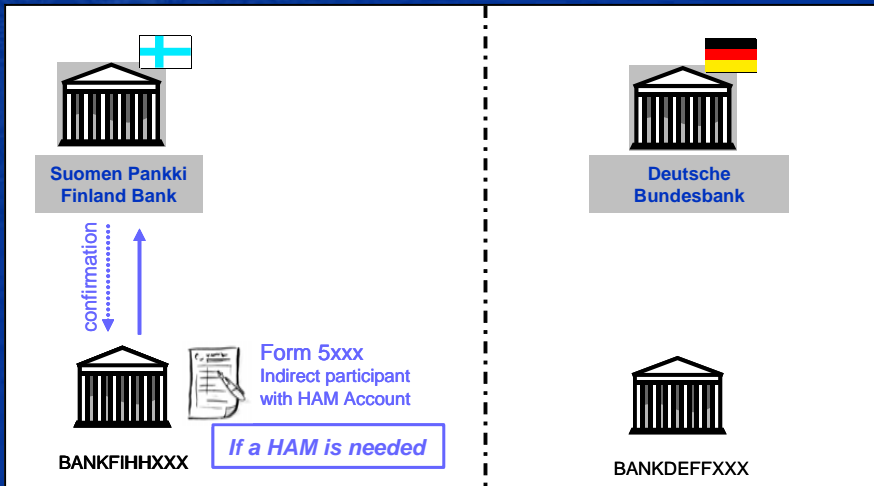

- The direct participant fills in and sends the form 1013 to its responsible CB.
- The CB informs the direct participant when the registration is completed.

Procedures for SSP registration

8. Handling of conflicting registration requests

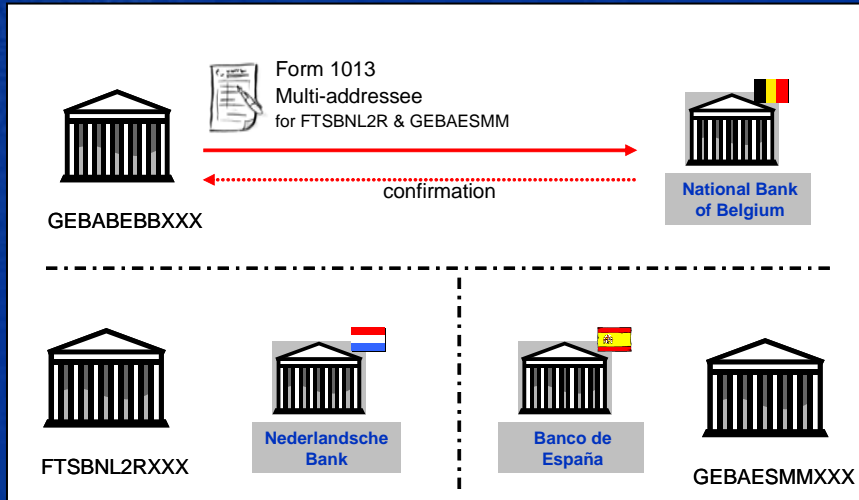| BIC Participant	BIC Addressee	BIC Acc. Holder	Modif Flag	Valid from	Valid till
BKBKJPJTXXX	KREDBEBBXXX	KREDBEBBXXX	U	20080218	99991231
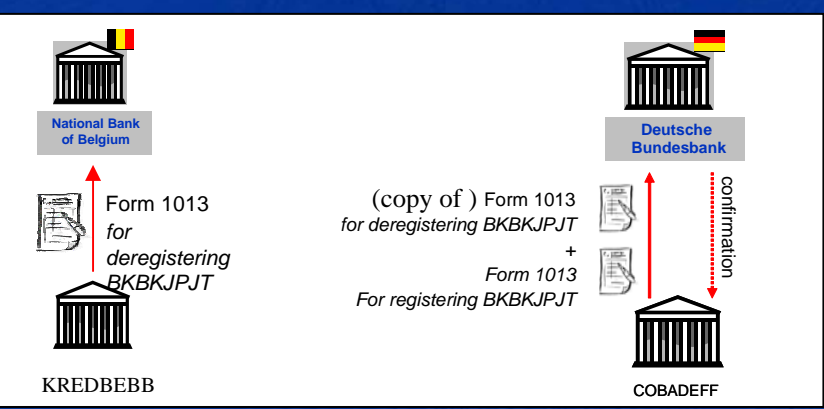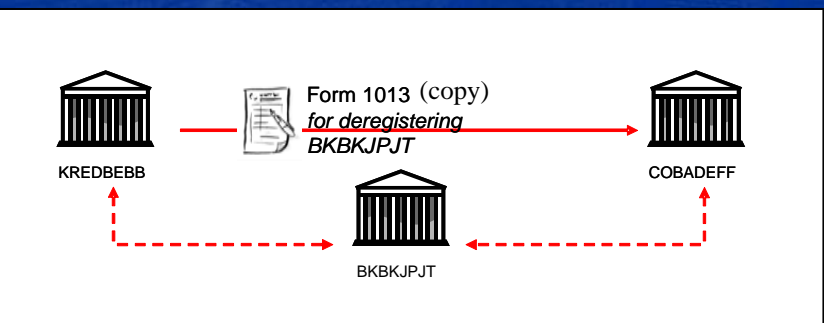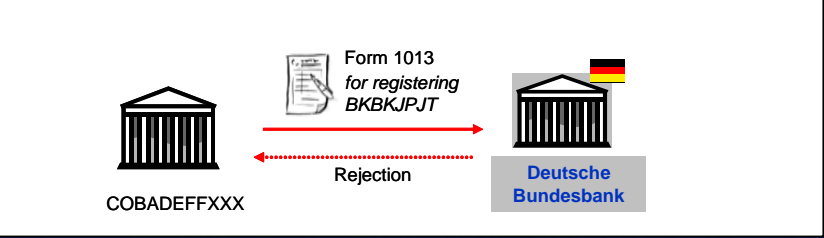
- When a CB receives a request for registering an indirect participant or addressable BIC (correspondent), which is already registered with another direct participant, this request is rejected.

- Contacts should take place between both direct participants and the correspondent in view of clarifying who should register it.

- After the agreement is reached, the form 1013 for deregistering is sent by the direct participant to the resp. CB and a copy for the other direct participant.

- The participant, which will get the business relationship, sends both forms 1013 (for deregistering and for registering) to its CB.

- The CB informs the direct participant when the registration is completed.
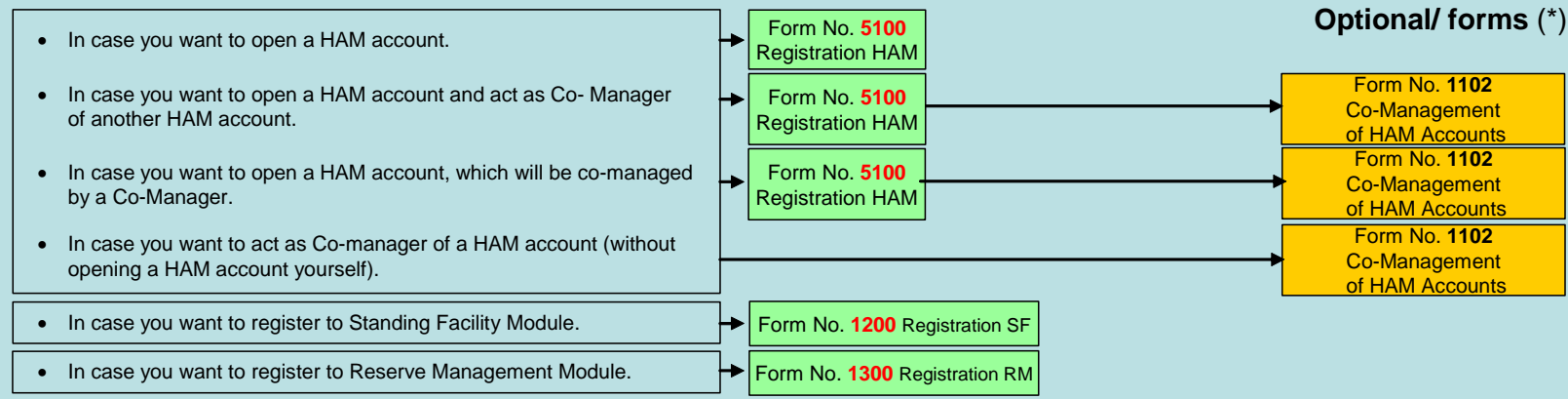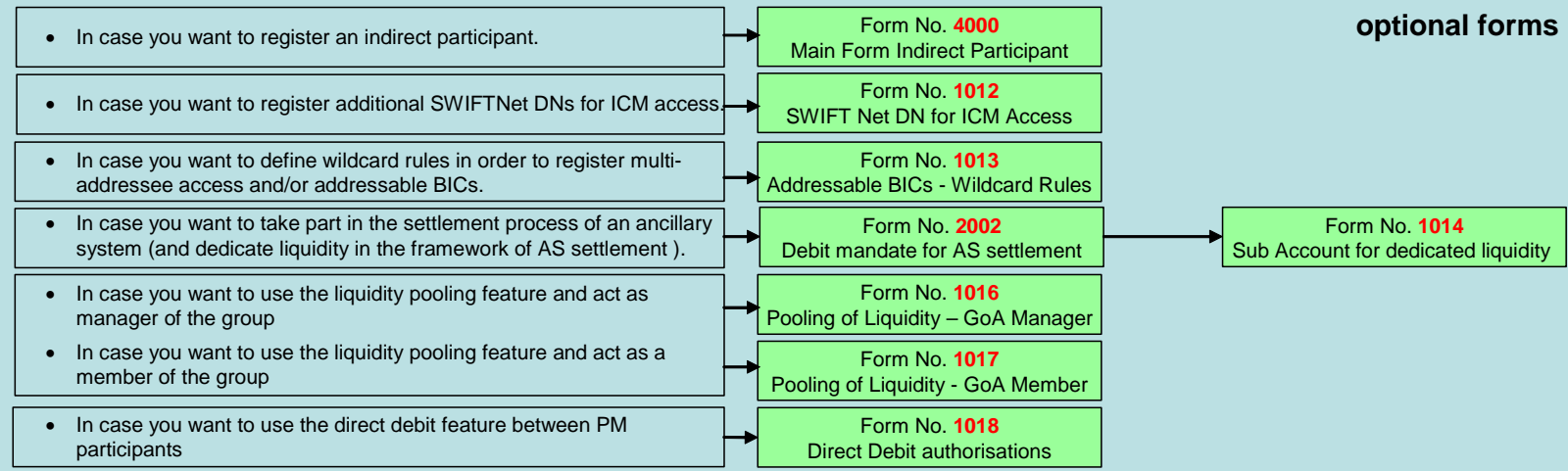
Procedures for SSP registration
Annex: overview of available forms

Forms applicable to Direct participation to PM

Form No. **1000** Main Form	**+**	Form No. **1015** Contact Items

mandatory forms

optional forms

- In case you want to register an indirect participant. → Form No. **4000** Main Form Indirect Participant

- In case you want to register additional SWIFTNet DNs for ICM access. → Form No. **1012** SWIFT Net DN for ICM Access

- In case you want to define wildcard rules in order to register multi-addressee access and/or addressable BICs. → Form No. **1013** Addressable BICs - Wildcard Rules

- In case you want to take part in the settlement process of an ancillary system (and dedicate liquidity in the framework of AS settlement). → Form No. **2002** Debit mandate for AS settlement → Form No. **1014** Sub Account for dedicated liquidity

- In case you want to use the liquidity pooling feature and act as manager of the group → Form No. **1016** Pooling of Liquidity – GoA Manager

- In case you want to use the liquidity pooling feature and act as a member of the group → Form No. **1017** Pooling of Liquidity - GoA Member

- In case you want to use the direct debit feature between PM participants → Form No. **1018** Direct Debit authorisations

Optional/ forms (*)

- In case you want to open a HAM account. → Form No. **5100** Registration HAM

- In case you want to open a HAM account and act as Co- Manager of another HAM account. → Form No. **5100** Registration HAM → Form No. **1102** Co-Management of HAM Accounts

- In case you want to open a HAM account, which will be co-managed by a Co-Manager. → Form No. **5100** Registration HAM → Form No. **1102** Co-Management of HAM Accounts

- In case you want to act as Co-manager of a HAM account (without opening a HAM account yourself). → Form No. **1102** Co-Management of HAM Accounts

- In case you want to register to Standing Facility Module. → Form No. **1200** Registration SF

- In case you want to register to Reserve Management Module. → Form No. **1300** Registration RM

(*) The registration for an optional module is only possible if the responsible Central Bank did opt for this module.

Procedures for SSP registration

Annex: overview of available forms

Forms applicable to Indirect participation to PM

Form No. **4000** Main Form	*(To be used by direct participants)*	**mandatory features/ services**

• In case you want to define wildcard rules in order to register branch(es) as addressable BICs in the TARGET2 directory.	→	Form No. **4013** Addressable BICs - Wildcard Rules	**optional features/ services**

Forms applicable to HAM account holders

Form No. **5000** Main Form	**+**	Form No. **5100** Registration HAM	**+**	Form No. **5015** Contact Items	**mandatory features/ services**

• In case you need your HAM account be co-managed by a Co-Manager.	→	Form No. **1102** Co-Management of HAM Accounts	**optional features/ services**

optional modules (*)

• In case you want to register additional SWIFTNet DNs for ICM access (ICM access for HAM)	→	Form No. **5012** SWIFT Net DN for ICM Access (HAM)
• In case you want to register to the Standing Facility Module.	→	Form No. **5200** Registration SF
• In case you want to register to the Reserve Management Module.	→	Form No. **5300** Registration RM

(*) The registration for an optional module is only possible if the responsible Central Bank did opt for this module.

Procedures for SSP registration

Annex: overview of available forms

Forms applicable to CB customers

mandatory features/ services

Form No. **6000** Main Form	**+**	Form No. **6100** Registration HAM

optional modules

- In case you want to register a SWIFTNet DN for ICM access (ICM access for HAM). → Form No. **6012** SWIFT Net DN for ICM Access (HAM)

Forms applicable to Ancillary Systems

mandatory features/ services

Form No. **2000** Registration AS	**+**	Form No. **2001** Settlement Banks for AS	**+**	Form No. **2002** Debit mandate AS settlement (for each settlement bank)	**+**	Form No. **2015** Contact Items

optional features/ services

- Depending of the settlement procedure chosen, a dedicated technical account might be needed → Form No. **1000** Main Form
- In case Guarantee mechanism is used an AS guarantee (RTGS) account has to be open → Form No. **1000** Main Form
- In case you want to register additional SWIFTNet DNs for ICM access (ICM access for AS). → Form No. **1012** SWIFTNet DN for ICM Access (AS)

Other business

- In case, beside your settlement activities as Ancillary System, you want to hold an account in the PM and act as a credit institution. → Please refer to optional / mandatory forms for direct PM participants